

Neifeld Docket No: SIEM0015U/US

Application/Patent No: 09/673,905

USPTO CONFIRMATION NO: 7207

File/Issue Date: 10/23/2000

Inventor/title: Gradischnig/Signalling system in a signalling point

Examiner/ArtUnit: Nguyen/2141

**37 CFR 1.7(c) FILING RECEIPT AND TRANSMITTAL LETTER WITH  
AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

1. **THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY FEES  
WHICH MAY BE REQUIRED, OR CREDIT ANY OVERPAYMENT, TO DEPOSIT  
ACCOUNT NUMBER 50-2106.**

2. **THE FOLLOWING ITEMS ARE BEING SUBMITTED:  
FEES BEING PAID HEREWITH BY EFS CREDIT CARD SUBMISSION:  
THE FOLLOWING DOCUMENTS ARE SUBMITTED HEREWITH:**

37 CFR 1.111 Response to Notice of Abandonment (2 pages)  
Attachment 1 (21 pages)

3. **FOR INTERNAL NEIFELD IP LAW, PC USE ONLY**

<b>USPTO CHARGES:</b>	<b>FIRM CHARGES:</b>
<b>CLIENT BILLING MATTER:</b>	<b>DESCRIPTION:</b>
<b>BANK ACCOUNT: 6</b>	<b>AMOUNT:</b>
<b>G/L ACCOUNT: 5010</b>	<b>LAWYER:</b>

**INITIALS OF PERSON WHO ENTERED ACCOUNTING DATA: BTM (no data)**

**DATE:** 12/26/2006 **SIGNATURE:** /RichardNeifeld#35,299/

Printed: December 26, 2006 (2:34pm)

Y:\Clients\Siemens\SIEM0015\SIEM0015U-US\Drafts\FRTL\_061226.wpd

NEIFELD Docket No.: SIEM0015U/US

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus Gradischnig

GROUP ART UNIT: 2141

SERIAL NO.: 09/673,905

EXAMINER: NGUYEN, QUANG N.

FILED: 10/23/00

CONFIRMATION NO. 7207

FOR: Signaling system in a signaling point

NEIFELD Docket No.: SIEM0015U/US

ASSISTANT COMMISSIONER FOR PATENTS

ALEXANDRIA, VA 22313

37 CFR 1.111 RESPONSE TO NOTICE OF ABANDONMENT

REMARKS

The office mailed a notice of abandonment dated 12/12/2006, stating that the application was abandoned based upon no response to the office action mailed 22 August 2005. In fact, the applicant filed a response to the office action mailed 22 August 2005. On February 13, 2006, the applicant filed a continuation application and a petition for extension of time for 3 months. See page 19 of the 21 page attachment. The attachment is the continuation filing, less the specification. Page 19 is the request for three month extension of time to February 22, 2005. The petition lists the attorney docket number for the continuation, but is clearly directed to this application.

Accordingly, the USPTO should sent a corrected notice of abandonment in which Box 1 is not checked, and with a corrected explanation in box 7, that the application went abandoned February 22, 2006, after the extended period for response expired.

Truly,

12/26/2006

DATE

/s/Richard Neifeld#35,299/

Richard Neifeld

Reg. No: 35,299

Attorney of Record

RAN

Date/time code: December 26, 2006 (1:58pm)

Y:\Clients\Siemens\SIEM0015\SIEM0015U-US\Drafts\ResponseToNoticeOfAbandonment\_SIE  
M0015U-US\_061226.wpd

NEIFELD IP LAW, P.C.  
4813-B Eisenhower Avenue  
Alexandria, Virginia 22304



RLB: Send copy of application and advanced serial card via  
postal mail: 2/24/06  
RGC: Assignment Filing 3/13/06  
RAN: Filing Receipt 4/13/06  
RLB: Verify Receipt by USPTO 4/13/06  
RAN: Information Disclosure Statement 5/13/06  
RGC: Information Disclosure Statement 5/13/06  
RGC: File preliminary amendment with new claims according  
to email instructions CLEARED  
RGC: Formal Drawings CLEARED

### 37 CFR 1.7(c) FILING

Neifeld Docket No.: SIEM0015U-USC1  
Client Ref: 1998P01556WOUS  
US/PCT Application No.: New Application  
US/PCT Application Filing Date: Herewith  
Priority Data: Continuation of Application No. 09/673,905, filed 10/23/2000  
USPTO Confirmation No.: Unknown  
Inventor: Gradischnig  
Title: Signalling System in a Signalling Point

### THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT OFFICE ON THE DATE STAMPED HEREON:

Check for \$1020.00  
Check for \$1360.00  
Check for \$40.00  
Advanced Serial No. Card  
Utility Patent Application Transmittal (1 Page)  
Fee Transmittal (1 Page, In Duplicate)  
Specification (8 Pages)  
Figures (6 Pages)  
37 CFR 1.331 Recordation Form Cover Sheet (1 Page, In Duplicate)  
Copy of Notice of Recordation of Assignment from Parent Application No. 09/673,905, filed 10/23/2000 (5 Pages)  
Copy of German Language - Declaration and Power of Attorney for Patent Application (3 Pages)  
37 CFR 1.33 Request to Use Customer Number 31518 For Correspondence and Attorneys of Record (1 Page)  
Petition for Extension of Time Under 37 CFR 1.136(a) (1 Page, 1 Duplicate)  
37 CFR 1.115 Preliminary Amendment (1 page)

	PcLaw Matter	Lawyer	Amount	Explanation	Date Entered	Initials
Fees:	SIEM0015	RGC	\$600.00	SIEM0015U-USC1 firm charge for docketing and filing a continuation application.	2/10/06	RLB

	PcLaw Matter	G/L Account	Amount	Explanation	Date Entered	Initials
Disbursements:	SIEM0015	5010	1360.00	SIEM0015U-USC1 gov. fees for filing a continuation application, an Extension of Time and an Assignment. RLB	2/10/06	RLB

Printed: April 21, 2005 (5:19pm)  
Y:\Info\FirmForms\Forms\_Patent\US\Templates-DoNotUse\FR-US.wpd

Application\_SIEM0015U-USC1\_060213.pdf

Application - SIEM0015U-USC1 - 060213

NEIFELD IP LAW, PC

DATE : Feb 10/2006  
CHECK # : 04231  
AMOUNT: \$1,020.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents

004231

SIEM0015U-USC1 gov. fees filing an EOT

CLIENT: SIEM - Siemens, AG  
ATTORNEY: SIEM0015

04231

NEIFELD IP LAW, PC  
4813-B EISENHOWER AVE.  
ALEXANDRIA, VA 22304

BURKE & HERBERT BANK & TRUST CO.  
Alexandria, VA

CHECK NO.  
68-106/560

004231

One Thousand Twenty \*\*\*\*\* 00/100

PAY TO THE ORDER OF

DATE

AMOUNT

Feb 10/2006

\$1,020.00

Commissioner of Patents

SIEM0015U-USC1 gov. fees filing an EOT

*Robert G. Crockett*

NEIFELD IP LAW, PC

DATE : Feb 10/2006  
CHECK # : 04231  
AMOUNT: \$1,020.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents  
SIEM0015U-USC1 gov. fees filing an EOT  
CLIENT: SIEM - Siemens, AG  
ATTORNEY: SIEM0015  
ATTORNEY: Richard A. Neifeld  
Patent Department, ZFE DR PA  
P.O. 22 16 34  
Germany  
-80506  
Siemens Ref: 1998P01556US • Neifeld Ref: SIEM0015U/US • Schiff (prior attorney)

\*\* GENERAL BALANCES \*\*  
UNBILLED DISBS:  
A/R BALANCE :

004231  
1360.00  
1707.80

\*\* TRUST BALANCES \*\*

TRUST BALANCE :

0.00

DATE : Feb 10/2006  
CHECK # : 04230  
AMOUNT: \$1,360.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents

004230

SIEM0015U-USC1 gov. fees for filing a continuation application

CLIENT: SIEM - Siemens, AG  
MATTER: SIEM0015

NEIFELD IP LAW, PC  
4813-B EISENHOWER AVE.  
ALEXANDRIA, VA 22304

BURKE & HERBERT BANK & TRUST CO.  
Alexandria, VA

CHECK NO.  
68-106/560

04230  
004230

One Thousand Three Hundred Sixty \*\*\*\*\* 00/100

PAY TO THE ORDER OF

DATE

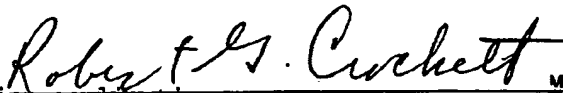
AMOUNT

Feb 10/2006

\$1,360.00

Commissioner of Patents

SIEM0015U-USC1 gov. fees for filing a continuation application



NEIFELD IP LAW, PC

DATE : Feb 10/2006  
CHECK # : 04230  
AMOUNT: \$1,360.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents  
SIEM0015U-USC1 gov. fees for filing a continuation application  
CLIENT: SIEM - Siemens, AG  
MATTER: SIEM0015

\*\* GENERAL BALANCES \*\*  
UNBILLED DISBS:  
A/R BALANCE :

004230  
0.00  
1707.80

ATTORNEY: Richard A. Neifeld  
Patent Department, 2FE DR PA  
A.O. 22 16 34

\*\* TRUST BALANCES \*\*

unich  
Germany  
-80506

TRUST BALANCE :

0.00

Siemens Ref: 1998P01556US • Neifeld Ref: SIEM0015U/US • Schiff (prior attorney)

NEIFELD IP LAW, PC

DATE : Feb 13/2006  
CHE # : 04233  
AMOUNT: \$40.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents

004233

SIEM0015U-USC1 gov. fees Recordation of Assignment

CLIENT: SIEM - Siemens, AG  
MATTER: SIEM0015

NEIFELD IP LAW, PC  
4813-B EISENHOWER AVE.  
ALEXANDRIA, VA 22304

BURKE & HERBERT BANK & TRUST CO.  
Alexandria, VA

CHECK NO.  
68-106/560

04233

004233

Forty \*\*\*\*\* 00/100

PAY TO THE ORDER OF

Commissioner of Patents

DATE

Feb 13/2006

AMOUNT

\$40.00

SIEM0015U-USC1 gov. fees Recordation of Assignment

*Robert M. Crawford*

NEIFELD IP LAW, PC

DATE : Feb 13/2006  
CHE # : 04233  
AMOUNT: \$40.00  
ACCOUNT: GENERAL - 1  
PAID TO: Commissioner of Patents  
SIEM0015U-USC1 gov. fees Recordation of Assignment  
CLIENT: SIEM - Siemens, AG  
MATTER: SIEM0015  
LAWYER: Richard A. Neifeld  
Patent Department, ZFE DR PA  
P. O. 22 16 34  
Munich  
Germany  
D-80506  
Siemens Ref: 1998P01556US•Neifeld Ref: SIEM0015U/US•Schiff (prior attorn

\*\* GENERAL BALANCES \*\*

UNBILLED DISBS:  
A/R BALANCE :

004233

2380.00  
1707.80

\*\* TRUST BALANCES \*\*

TRUST BALANCE :

0.00

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The U.S. Patent Office stamp affixed hereinbelow indicates the filing date and Serial Number for the following application:

NEIFELD REF.: SIEM0015U-USC 1

INVENTOR: Gradischnia

TITLE: Signalling System in a Signalling  
Point

This is NOT a filing receipt! Please retain for ADVANCE NOTICE SERIAL NUMBER.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Gradischnig

APPLICATION NO: New Application

FILING DATE: Herewith

FOR: Signalling system in a signalling point

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	× \$50 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$200 =	\$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$360 =	\$360.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	
<input checked="" type="checkbox"/> BASIC FEE (Filed on or after 12/8/04)			+ \$300 =	\$300.00
<input type="checkbox"/> BASIC FEE (Filed before 12/8/04)			+ \$790 =	
<input checked="" type="checkbox"/> UTILITY SEARCH FEE			+ \$500 =	\$500.00
<input checked="" type="checkbox"/> UTILITY EXAMINATION FEE			+ \$200 =	\$200.00
TOTAL OF ABOVE CALCULATIONS				
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$1400.00

- ☒ A duplicate copy of this sheet is enclosed.
- ☒ Two checks in the amount of \$1360.00 and \$40.00 are enclosed to cover the filing fees are enclosed.
- ☐ The Commissioner is hereby authorized to charge the above listed fees to Deposit Account No. 50-2106.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-2106.
- A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

02/13/2006  
Date

*Robert G. Crockett*  
Robert G. Crockett  
Registration No. 42,448  
Attorney of Record

Printed: May 25, 2005 (2:03pm)

Y:\Info\FirmForms\Firms\_Patent\US\Templates-DoNotUse\37 CFR1\_016\_FeeTransmittal\_BlankSignature.wpd

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Gradischnig

APPLICATION NO: New Application

FILING DATE: Herewith

FOR: Signalling system in a signalling point

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	× \$50 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$200 =	\$0.00
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<input checked="" type="checkbox"/> UTILITY SEARCH FEE			+ \$500 =	\$500.00
<input checked="" type="checkbox"/> UTILITY EXAMINATION FEE			+ \$200 =	\$200.00
TOTAL OF ABOVE CALCULATIONS				
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<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$1400.00

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A duplicate copy of this sheet is enclosed.


Respectfully Submitted,

02/13/2006  
Date/

Robert G. Crockett  
Robert G. Crockett  
Registration No. 42,448  
Attorney of Record

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Neifeld Ref.:		SIEM0015U-USC1
	First Inventor or Application Identifier		Gradischnig
	Title	Signalling system in a signalling point	

		Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing)			
<input checked="" type="checkbox"/> <b>Specification</b>		Total Pages <input type="text" value="8"/>	
<input checked="" type="checkbox"/> <b>Formal Drawing(s)</b>		Total Sheets <input type="text" value="6"/>	
<input checked="" type="checkbox"/> <b>Oath or Declaration</b>		Total Pages <input type="text" value="3"/>	
a. <input type="checkbox"/> Newly executed (original or copy)		<input checked="" type="checkbox"/> 37 CFR 1.331 Recordation Form Cover Sheet (1 Page, in Duplicate), and Copy of Notice of Recordation of Assignment from Parent Application No. 09/673,905, filed 10/23/2000 (5 Pages) - Encl/Frame No. 011282/0306	
b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed)		<input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee)	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).		<input type="checkbox"/> Power of Attorney	
		<input type="checkbox"/> English Translation Document (if applicable)	
		<input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		<input type="checkbox"/> Preliminary Amendment	
		<input checked="" type="checkbox"/> White Advance Serial No. Postcard	
		<input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		<input checked="" type="checkbox"/> Other: 37 CFR 1.33 Request to Use Customer Number 31518 For Correspondence and Attorneys of Record (1 Page) Petition for Extension of Time Under 37 CFR 1.136(a) (1 Page, 1 Duplicate) 37 CFR 1.115 Preliminary Amendment (1 page)	

Name:	Richard A. Neifeld	Registration No.:	35,299
Signature:		Date:	02/13/2006
Name:	Robert G. Crockett	Registration No.:	42,448

### 37 CFR 1.331 RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Klaus GRADISCHNIG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
  
☐ Security Agreement      ☐ Change of Name  
  
☐ Other \_\_\_\_\_

Execution Date: 5/15/1998

2. Name and address of receiving party(ies)

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: Wittelsbacherplatz 2

D-80333 Munchen, GERMANY

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/15/98

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neifeld IP Law, PC

Internal Address: 4813-B Eisenhower Avenue  
Alexandria, Virginia 22304

Street Address: Same as Above

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Total number of applications and patents involved: 11

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed

☐ Authorized to be charge to deposit account

8. Deposit account number: 50-2106

(Attach a duplicate copy of this page if paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert G. Crockett

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments, Washington, D.C. 20231

### 37 CFR 1.331 RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Klaus GRADISCHNIG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
  
☐ Security Agreement      ☐ Change of Name  
  
☐ Other \_\_\_\_\_

Execution Date: 5/15/1998

2. Name and address of receiving party(ies)

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: Wittelsbacherplatz 2

D-80333 Munchen, GERMANY

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/15/98

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neifeld JP Law, PC

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Alexandria, Virginia 22304

Street Address: Same as Above

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed

☐ Authorized to be charge to deposit account

8. Deposit account number: 50-2106

(Attach a duplicate copy of this page if paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert G. Crockett

Name of Person Signing

Robert G. Crockett 02/13/2006

Signature

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments, Washington, D.C. 20231



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D C. 20231

FEBRUARY 02, 2001

PTAS



\*101535340A\*

SCHIFF HARDIN & WAITE  
MELVIN A. ROBINSON  
PATENT DEPARTMENT  
6600 SEARS TOWER  
CHICAGO, IL 60606

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/23/2000

REEL/FRAME: 011282/0306  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GRADISCHNIG, KLAUS

DOC DATE: 05/15/1998

ASSIGNEE:  
SIEMENS AKTIENGESELLSCHAFT  
WITTELSBACHERPLATZ 2  
MUENCHEN, FED REP GERMANY D-80333

SERIAL NUMBER: 09673905  
PATENT NUMBER:

FILING DATE: 10/23/2000  
ISSUE DATE:

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 02, 2001

PTAS



\*101535340A\*

SCHIFF HARDIN & WAITE  
MELVIN A. ROBINSON  
PATENT DEPARTMENT  
6600 SEARS TOWER  
CHICAGO, IL 60606

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 10/23/2000

REEL/FRAME: 011282/0306  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GRADISCHNIG, KLAUS

DOC DATE: 05/15/1998

ASSIGNEE:  
SIEMENS AKTIENGESELLSCHAFT  
WITTELSBACHERPLATZ 2  
MUENCHEN, FED REP GERMANY D-80333

SERIAL NUMBER: 09673905  
PATENT NUMBER:

FILING DATE: 10/23/2000  
ISSUE DATE:

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

4/D 581-660

09/673905  
422 Rec'd PCT/PTO 23 OCT 2000

12-04-2000

FORM PTO-1595  
COMMERCE

1/31/92  
Office



101535340

EET

U.S. DEPARTMENT OF

10-23-00  
Patent and Trademark

To the Honorable Commissioner of Patents and Trademarks: Please receive the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> Klaus GRADISCHNIG		<b>2. Name and address of receiving party(ies):</b> Name: <u>Siemens Aktiengesellschaft</u> Internal Address: _____ _____	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Street Address: <u>Wittelsbacherplatz 2,</u> <u>D-80333 Muenchen, GERMANY</u> City: _____ State: _____ Zip: _____ Additional Name(s) & Address(es) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date <u>5/15/1998</u>			
<b>4. Application (number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: _____  A. Patent Application No.(s) <u>P00,1834</u> <u>PCT/EP98/02775</u> B. Patent No.(s) <u>09673905</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  <u>Schiff Hardin &amp; Waite</u>  Patent Department 6600 Sears Tower Chicago, IL 60606		<b>6. Total number of applications and patents involved</b> <u>1</u>  <b>7. Total Fee (37 CFR 3.41) . . . . . \$</b> <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account any Additional fees  <b>8. Deposit Account Number:</b> <u>501519</u> (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
<b>9. Statement and signature:</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  <u>Melvin A. Robinson</u> <u>October 23, 2000</u> Name of Person Signing Signature Date  Total number of pages comprising cover sheet <u>3</u>			
Mail documents to be recorded and required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231 40.00 (P)			

EXPRESS MAIL LABEL NO. EJ077703907US



**ASSIGNMENT**

For good and valuable consideration, I/we, the undersigned

**GRADISCHNIG, Klaus**  
residing at:  
**Max-Klinger-Straße 28**  
**D-82131 Gauting**  
**Bundesrepublik Deutschland**

hereby sell, assign, and transfer to

**Siemens Aktiengesellschaft**

Munich, a German corporation organized and existing under the laws of the Federal Republic of Germany, having its principal place of business in Munich, Federal Republic of Germany herein the "Assignee", its successors, assigns and legal representatives the entire right, title and interest in and for the United States, in all and to any improvements in the

(Title:) **Signalisierungs-System in einem Signalisierungspunkt**

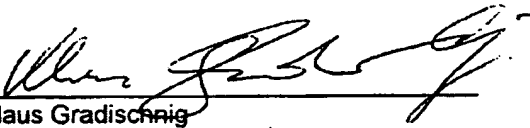
disclosed in the PCT international application for Letters Patent designating the United States, said application being identified in our records as

Siemens File No. **GR 98 P 1556 P US**  
and filed as PCT application

and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States on said improvements;  
hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any

and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 15. 5. 1998

  
Klaus Gradischnig

Witnesses: Michael Tinner Michael Tinner  
(type name)

Witnesses: Berhard Kuhn Berhard Kuhn  
(type name)

**Declarat. and Power of Attorney For Patent Application**  
**Erklärung Für Patentanmeldungen Mit Vollmacht**  
 German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

As a below named inventor, I hereby declare that:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

My residence, post office address and citizenship are as stated below next to my name.

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Signalisierungs-System in einem  
Signalisierungspunkt  
 deren Beschreibung

(zutreffendes ankreuzen)

☒ hier beigefügt ist.

☐ am \_\_\_\_\_ als

PCT internationale Anmeldung

PCT Anwendungsnummer \_\_\_\_\_  
 eingereicht wurde und am \_\_\_\_\_  
 abgeändert wurde (falls tatsächlich abgeändert).

the specification of which

(check one)

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as

PCT international application

PCT Application No. \_\_\_\_\_

and was amended on \_\_\_\_\_  
 (if applicable)

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

# German Language Declarat

Prior foreign applications  
Priorität beansprucht

Priority Claimed

98 107 336.4 Germany(EP) 22. April 1998  
(Number) (Country) (Day Month Year Filed)  
(Nummer) (Land) (Tag Monat Jahr eingereicht)

☒ ☐  
Yes No  
Ja Nein

(Number) (Country) (Day Month Year Filed)  
(Nummer) (Land) (Tag Monat Jahr eingereicht)

☐ ☐  
Yes No  
Ja Nein

(Number) (Country) (Day Month Year Filed)  
(Nummer) (Land) (Tag Monat Jahr eingereicht)

☐ ☐  
Yes No  
Ja Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date)  
(Anmeldedatum)

(Status)  
(potentiert, anhängig,  
aufgegeben)

(Status)  
(patented, pending,  
abandoned)

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date)  
(Anmeldedatum)

(Status)  
(potentiert, anhängig,  
aufgeben)

(Status)  
(patented, pending,  
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

# German Language Declaration

**VERTRETUNGSVOLLMACHT:** Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: *(Name und Registrationsnummer anführen)*

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

And I hereby appoint  
Messrs. John D. Simpson (Registration No. 19,842) Lewis T. Steadman (17,074), William C. Stueber (16,453), P. Phillips Connor (19,259), Dennis A. Gross (24,410), Marvin Moody (16,549), Steven H. Nott (28,982), Brett A. Valiquet (27,841), Thomas I. Ross (29,275), Kevin W. Guynn (29,927), Edward A. Lehmann (22,312), James D. Hobart (24,149), Robert M. Barrett (30,142), James Van Santen (18,584), J. Arthur Gross (13,815), Richard J. Schwarz (13,472) and Melvin A. Robinson (31,870), David R. Metzger (32,919), John R. Garrett (27,888) all members of the firm of Hill, Steadman & Simpson, A Professional Corporation.

Telefongespräche bitte richten an:  
*(Name und Telefonnummer)*

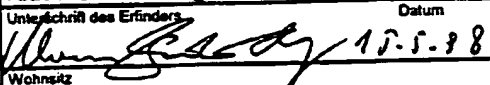
Direct Telephone Calls to: *(name and telephone number)*

312/876-0200  
Ext. \_\_\_\_\_

Postanschrift:

Send Correspondence to:

**HILL, STEADMAN & SIMPSON**  
A Professional Corporation  
85th Floor Sears Tower, Chicago, Illinois 60606

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of sole or first inventor:	
Klaus Gradischnig			
Unterschrift des Erfinders	Datum	Inventor's signature	Date
	12.5.98		
Wohnsitz		Residence	
D-82131 Gauting, Germany			
Staatsangehörigkeit		Citizenship	
Bundesrepublik Deutschland			
Postanschrift		Post Office Address	
Max-Klinger-Straße 28			
D-82131 Gauting			
Bundesrepublik Deutschland			
Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
Unterschrift des Erfinders	Datum	Second inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

*(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).*

*(Supply similar information and signature for third and subsequent joint inventors).*

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Neifeld Ref: SIEM0015U-USC1
In re Application of Gradischnig		
Application Number New Application		Filed Herewith
Title Signalling System in a Signalling Point		
Group Art Unit Unknown		Examiner Unknown

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- |                                     |                                  |             |
|-------------------------------------|----------------------------------|-------------|
| <input type="checkbox"/>            | One month (37 CFR 1.17(a)(1))    | \$ 120.00   |
| <input type="checkbox"/>            | Two months (37 CFR 1.17(a)(2))   | \$ 450.00   |
| <input checked="" type="checkbox"/> | Three months (37 CFR 1.17(a)(3)) | \$ 1,020.00 |
| <input type="checkbox"/>            | Four months (37 CFR 1.17(a)(4))  | \$ 1,590.00 |
| <input type="checkbox"/>            | Five months (37 CFR 1.17(a)(5))  | \$ 2,160.00 |

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_.

☒ A check including the amount of \$1020.00 is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account Number 50-2106.  
I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a). \_\_\_\_\_.

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

02/13/2006  
Date

Robert G. Crockett  
Signature

Robert G. Crockett, Reg. 42,488

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Neifeld Ref: SIEM0015U-USC1
In re Application of Gradischnig		
Application Number	New Application	Filed Herewith
Title Signalling System in a Signalling Point		
Group Art Unit	Unknown	Examiner Unknown

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- |                                     |                                  |             |
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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

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Registration number if acting under 37 CFR 1.34(a): \_\_\_\_\_.

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02/13/2006  
Date

Robert G. Crockett  
Signature

Robert G. Crockett, Reg. 42,488

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus Gradischnig      GROUP ART UNIT:

SERIAL NO.: Continuation of 09/673,905 filed 23 October 2000

FOR: Signaling system in a signaling point

ASSISTANT COMMISSIONER FOR PATENTS

ALEXANDRIA, VA 22313

37 CFR 1.115 PRELIMINARY AMENDMENT

Sir:

Please amend the specification by inserting before the first line of page 1, after the Title of the Invention, the following:

This application is a continuation of U.S. application no. 09/673,905, filed 23 October 2000, which is the national stage of international application no. PCT/EP98/02775, filed 12 May 1998.

Respectfully Submitted,

02/13/2006

Date

Robert G. Crockett

Robert G. Crockett  
Registration No. 42,448  
Attorney of Record

31518

PATENT TRADEMARK OFFICE

RGC

Printed: February 13, 2006 (3:12pm)

Y:\Clients\Siemens\SIEM0015UUS\SIEM0015U-USC1\Drafts\PrelimAmendment\_060213.  
wpd